

**Friends of Mike Lee Inc.  
10 West Broadway, Suite 500  
Salt Lake City, UT 84101  
(C00473827)**

RECEIVED  
U.S. HOUSE OF REPRESENTATIVES  
16 FEB 12 AM 10:23

February 10, 2016

Ms. Jessica Grainger  
Campaign Finance Analyst  
Reports Analysis Division  
Federal Election Commission  
999 E Street NW  
Washington, DC 20463

Dear Ms. Grainger:

Friends of Mike Lee Inc. (FEC ID# C00473827) is in receipt of your Request for Additional Information dated January 12, 2016, regarding the Committee's October Quarterly Report (07/01/2015 – 09/30/2015).

The following donors' contribution records have been reviewed and the excess portions of their contribution have been refunded. These contribution refunds took place in Q1 and will be reported accordingly in the upcoming Q1 2016 report.

Scott Banister – \$2,550 refunded February 9, 2016  
William Clark – \$2,200 refunded February 9, 2016

The Committee is amending a portion of its October Quarterly Report, originally filed on October 15, 2015 to reflect the redesignation of the contributions received from Defend America PAC on Schedule A, page 473 and 474 of line 11c. The original filing inadvertently designated these contributions for the General Election. There are no changes to the amounts reported on the summary pages in column A or B.

The Commission has requested clarification on Schedule A of our report from individuals who have mailing addresses outside of the United States. In accordance with the safe harbor guidelines outlined in 11 CFR 110.20(a)(7), the Committee has safeguards in place to ensure that the contributions are not made by foreign nationals. When the Committee receives a contribution from a donor with a foreign address, it sends a follow up letter via regular mail or via email requesting that the donor verify he or she is a U.S. Citizen and to affirm the permissibility of their contributions. If the committee has any information indicating the contribution is impermissible, a refund is issued within 30 days of the committee's determination.

201602120200071083



A letter has been sent to Mr. Scott E. Forbes, requesting verification of his citizenship and the Committee is awaiting return of the verification request. A copy of the verification letter is attached.

Please contact us if you have additional questions.

Sincerely,

A handwritten signature in black ink, appearing to be 'Mike McCauley', with a large, stylized loop at the end.

Mike McCauley,  
Treasurer

201602120200071084



August 11, 2015

Mr. Scott Forbes  
44 Cranley Gardens  
London England SW7 3DE, UK

Dear Mr. Forbes:

Thank you for your generous contribution to the Friends of Mike Lee, Inc. In order to fully comply with campaign finance regulations, however, we must request additional information regarding your contribution.

Because the address associated with your contribution is from outside of the United States, we are obligated by federal campaign finance law to inquire as to the status of your citizenship. If you are an American citizen and merely residing abroad, we are permitted to accept your donation with additional documentation.

Your contribution will qualify if the statement below is true and verified by your signature. Please sign the applicable attached statement and return it to us along with a photocopy of your current U.S. passport. If the attached statement is not accurate, please provide a short explanation so the contribution can be attributed properly or returned if prohibited.

Please provide the information requested on the enclosed form, and return it to us in the envelope provided or fax it to us at 011-1-(703) 790-9389.

Sincerely,

Mike McCauley  
Treasurer

201602120200071085



Mr. Scott Forbes  
44 Cranley Gardens  
London England SW7 3DE, UK

Please initial one of the following statements and sign on the appropriate line.

\_\_\_\_\_ I verify that I am a United States citizen. I am supplying a photocopy of my current U.S. passport.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**OR**

\_\_\_\_\_ I am not a United States citizen. I request a refund or return of my contribution.

\_\_\_\_\_  
Signature (sign here only if a refund is requested)

\_\_\_\_\_  
Date

**IF YOU WISH TO PROVIDE THE COMMITTEE WITH YOUR UP-TO-DATE  
COMPLETE FOREIGN MAILING ADDRESS, PLEASE DO SO BELOW:**

Name \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_ Zip \_\_\_\_\_

Country \_\_\_\_\_

*Please fax this signed form to 011-1-(703) 790-9389.*

65025789

201602120200071086



**FEC  
FORM 3**

**REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For An Authorized Committee

16 FEB 12 AM 10:23

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

FRIENDS OF MIKE LEE INC

ADDRESS (number and street)

10 WEST BROADWAY SUITE 500

Check if different  
than previously  
reported. (ACC)

SALT LAKE CITY

UT

84101

2. FEC IDENTIFICATION NUMBER ▼

C C00473827

CITY ▲  
STATE ▲  
ZIP CODE ▲  
STATE ▼ DISTRICT

3. IS THIS REPORT NEW (N) OR X AMENDED (A)

UT

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

X October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

In the  
State of

(c) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

In the  
State of

5. Covering Period

07

01

2015

through

09

30

2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mike McCauley

Signature of Treasurer

Date

02

10

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only

**FEC FORM 3**  
(Revised 02/2003)



# SUMMARY PAGE

## of Receipts and Disbursements

Write or Type Committee Name

FRIENDS OF MIKE LEE INC

Report Covering the Period:

From:

M M / D D / Y Y  
07 01 / 2015

To:

M M / D D / Y Y Y Y  
09 30 / 2015

### COLUMN A

#### This Period

### COLUMN B

#### Election Cycle-to-Date

## 6. Net Contributions (other than loans)

(a) Total Contributions (other than loans) (from Line 11(e)) ..	403114.61	3985253.19
(b) Total Contribution Refunds (from Line 20(d)) ..	20975.00	75425.00
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a)) ...	382139.61	3909828.19

## 7. Net Operating Expenditures

(a) Total Operating Expenditures (from Line 17) ..	348839.49	2989237.45
(b) Total Offsets to Operating Expenditures (from Line 14)...	0.00	35380.20
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a)) ...	348839.49	2953857.25

8. Cash on Hand at Close of  
Reporting Period (from Line 27)...

	1220482.07
--	------------

9. Debts and Obligations Owed TO  
the Committee (Itemize all on  
Schedule C and/or Schedule D) ..

	0.00
--	------

10. Debts and Obligations Owed BY  
the Committee (Itemize all on  
Schedule C and/or Schedule D) ...

	0.00
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## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

201602120200071088



# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3 (Revised 12/2003)

PAGE 3 / 632

Write or Type Committee Name

**FRIENDS OF MIKE LEE INC**

Report Covering the Period: From: <sup>M M</sup> 07 <sup>D D</sup> 01 <sup>Y Y</sup> 2015 To: <sup>M M</sup> 09 <sup>D D</sup> 30 <sup>Y Y</sup> 2015

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)...	283403.84	2586028.97
(ii) Unitemized .....	16840.77	549010.75
(iii) TOTAL of contributions from individuals	300244.61	3135039.72
(b) Political Party Committees...	0.00	1000.00
(c) Other Political Committees (such as PACs)...	102870.00	849213.47
(d) The Candidate .....	0.00	0.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))...	403114.61	3985253.19
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES ..	4761.49	152442.73
13. LOANS:		
(a) Made or Guaranteed by the Candidate...	0.00	0.00
(b) All Other Loans...	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b))...	0.00	0.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.) ..	0.00	35380.20
15. OTHER RECEIPTS (Dividends, Interest, etc.) .....	7.57	26.30
16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)...	407883.67	4173102.42



# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3 (Revised 02/2003)

PAGE 4 / 632

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES...	348839.49	2989237.45
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES ..	12500.00	18500.00
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate...	0.00	0.00
(b) Of All Other Loans .....	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))...	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees ...	19675.00	71625.00
(b) Political Party Committees...	0.00	0.00
(c) Other Political Committees (such as PACs) ...	1300.00	3800.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))...	20975.00	75425.00
21. OTHER DISBURSEMENTS ...	0.00	15100.00
22. TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ►	382314.49	3098262.45

## **III. CASH SUMMARY**

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD...	1194912.89
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)...	407883.67
25. SUBTOTAL (add Line 23 and Line 24)...	1602796.56
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)...	382314.49
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)...	1220482.07



**SCHEDULE A (FEC Form 3)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 473 OF 632  
(check only one)  
☐ 11a ☐ 11b ☒ 11c ☐ 11d  
12 13a 13b 14 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**FRIENDS OF MIKE LEE INC**

<b>A.</b> Full Name (Last, First, Middle Initial) <b>CONSTITUTIONAL CONSERVATIVES FUND</b>			Date of Receipt M M / D D Y Y 07 30 2015	
Mailing Address 10 WEST BROADWAY SUITE 500			Transaction ID : SA11.42889B	
City	State	Zip Code		
SALT LAKE CITY	UT	84101-2099		
FEC ID number of contributing federal political committee. <b>C</b> C00497362			Amount of Each Receipt this Period , , -5000.00	
Name of Employer		Occupation	CONTRIBUTION	
Receipt For: 2016 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify)		Election Cycle-to-Date , , 15000.00	<b>[MEMO ITEM]</b> REDESIGNATION TO GENERAL	
<b>B.</b> Full Name (Last, First, Middle Initial) <b>CONSTITUTIONAL CONSERVATIVES FUND</b>			Date of Receipt M M / D D Y Y 07 30 2015	
Mailing Address 10 WEST BROADWAY SUITE 500			Transaction ID : SA11.42891	
City	State	Zip Code		
SALT LAKE CITY	UT	84101-2099		
FEC ID number of contributing federal political committee. <b>C</b> C00497362			Amount of Each Receipt this Period , , 5000.00	
Name of Employer		Occupation	CONTRIBUTION	
Receipt For: 2016 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)		Election Cycle-to-Date , , 15000.00	<b>[MEMO ITEM]</b> REDESIGNATION FROM CONVENTION	
<b>C.</b> Full Name (Last, First, Middle Initial) <b>DEFEND AMERICA PAC</b>			Date of Receipt M M / D D / Y Y Y Y 08 27 2015	
Mailing Address P.O. BOX 2626			Transaction ID : SA11.43286	
City	State	Zip Code		
TUSCALOOSA	AL	35403-2626		
FEC ID number of contributing federal political committee. <b>C</b> C00325993			Amount of Each Receipt this Period , , 5000.00	
Name of Employer		Occupation	CONTRIBUTION	
Receipt For: 2016 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) CONVENTION		Election Cycle-to-Date , , 10000.00		
<b>SUBTOTAL</b> of Receipts This Page (optional).....			, , 5000.00	
<b>TOTAL</b> This Period (last page this line number only).....			, ,	

201602120200071091



**SCHEDULE A (FEC Form 3)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 474 OF 632

☐ 11a ☐ 11b ☒ 11c ☐ 11d  
12 13a 13b 14 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**FRIENDS OF MIKE LEE INC**

Full Name (Last, First, Middle Initial)

**DEFEND AMERICA PAC**

Mailing Address P.O. BOX 2626

City

TUSCALOOSA

State

AL

Zip Code

35403-2626

FEC ID number of contributing  
federal political committee.

**C** C00325993

Name of Employer

Occupation

Receipt For: 2016

☒ Primary ☐ General  
☐ Other (specify)

Election Cycle-to-Date

10000.00

Date of Receipt

M M D D Y Y  
08 27 2015

Transaction ID : SA11.43288

Amount of Each Receipt this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

**DELOITTE FEDERAL POLITICAL ACTION COMMITTEE**

Mailing Address P.O. BOX 365

City

WASHINGTON

State

DC

Zip Code

20044-0365

FEC ID number of contributing  
federal political committee.

**C** C00211318

Name of Employer

Occupation

Receipt For: 2016

☐ Primary ☐ General  
☒ Other (specify) CONVENTION

Election Cycle-to-Date

3000.00

Date of Receipt

M M D D Y Y  
09 22 2015

Transaction ID : SA11.43832

Amount of Each Receipt this Period

2000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

**ERNST & YOUNG POLITICAL ACTION COMMITTEE**

Mailing Address 1101 NEW YORK AVENUE, NW

City

WASHINGTON

State

DC

Zip Code

20005-4269

FEC ID number of contributing  
federal political committee.

**C** C00227744

Name of Employer

Occupation

Receipt For: 2016

☐ Primary ☐ General  
☒ Other (specify) CONVENTION

Election Cycle-to-Date

2500.00

Date of Receipt

M M D D Y Y Y  
08 03 2015

Transaction ID : SA11.42904

Amount of Each Receipt this Period

2500.00

CONTRIBUTION

**SUBTOTAL** of Receipts This Page (optional).....

9500.00

**TOTAL** This Period (last page this line number only).....



**Hand Delivered**



# United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED

Date of Receipt

2-12-16

USPS FIRST CLASS MAIL

Date of Receipt

Postmark

USPS REGISTERED/CERTIFIED

Postmark

USPS PRIORITY MAIL

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

☐

USPS EXPRESS MAIL

Postmark

## OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS

☐

UPS

☐

DHL

☐

AIRBORNE EXPRESS

☐

RECEIVED FROM FEDERAL ELECTION COMMISSION

Date of Receipt

POSTMARK ILLEGIBLE

☐

POSTMARK

☐

FAX

Date of Receipt

OTHER

Date of Receipt or Postmark

PREPARER

DH

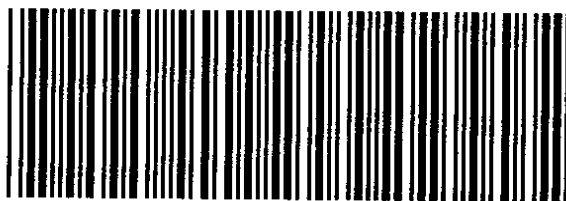
DATE PREPARED

2-12-16

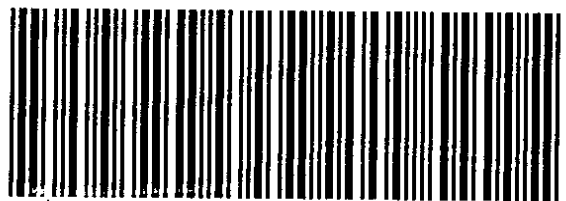
2/28/2015

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SEN PATCH



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